SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287						
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol <u>Colfax CORP</u> [CFX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
PRYOR DANIEL A		Director 10% Owner Officer (give title Other (specify			
(Last) (First) (Middle 2711 CENTERVILLE ROAD SUITE 400	3. Date of Earliest Transaction (Month/Day/Year) 02/25/2022	EVP, Strategy & Business Dev.			
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) WILMINGTON DE 19808		X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common stock, par value \$.001	02/25/2022		S ⁽¹⁾		2,024 ⁽¹⁾	D	\$42.18	172,091	D	
Common stock, par value \$.001	02/25/2022		A ⁽²⁾		62,821 ⁽²⁾	A	\$0.00	234,912	D	
Common stock, par value \$.001	02/28/2022		S ⁽¹⁾		31,274 ⁽¹⁾	D	\$41.181	203,638	D	
Common stock, par value \$.001								2,312	I	By 401K Plan
Common stock, par value \$.001								1,000	I	By trust for daughter
Common stock, par value \$.001								1,000	I	By trust for daughter
Common stock, par value \$.001								1,000	Ι	By trust for son

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Expiration Date (Month/Day/Year) Amount of Securities Derivative Conversior Date Execution Date Transaction Derivative derivative Ownership of Indirect Code (Instr. 8) (Month/Day/Year) or Exercise Price of Derivative Securities Beneficial Security (Instr. 3) if any (Month/Day/Year) Security (Instr. 5) Form: Direct (D) Underlying Beneficially Securities Ownership Derivative Acquired Derivative Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security (A) or Disposed Security (Instr. Reported Transaction(s) (Instr. 4) 3 and 4) of (D) (Instr. 3, 4 and 5) Amount 0 Number Date Expiration of Date Title Shares Code v (A) (D) Exercisable

Explanation of Responses:

1. The sales reported in this Form 4 were effected to meet tax obligations relating to the vesting and delivery of certain restricted stock units and performance-based restricted stock units ("PRSUs"). 2. Reflects the certification of the performance criteria for PRSUs previously awarded in 2019. For more details on the PRSUs, please refer to the "Outstanding Equity Awards at 2020 Fiscal Year-End" table on page 42 of Colfax Corporation's 2021 Proxy Statement as filed with the Securities and Exchange Commission on April 1, 2021.

Remarks:

<u>/s/ Bradley Tandy, Attorney-</u> <u>in-Fact</u> <u>03/01/2022</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.