SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Section 16(a) of the Securities Exchange Act of 1934

	or Section 30(n) of the investment Company Act of 1940						
1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol Colfax CORP [CFX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
PRYOR DANIEL A	(j	Director 10% Owner					
		X Officer (give title Other (specify					
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Delow) Delow)					
2711 CENTERVILLE ROAD	11/02/2021	EVP, Strategy & Business Dev.					
SUITE 400							
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)		Line)					
WILMINGTON DE 19808		X Form filed by One Reporting Person					
		Form filed by More than One Reporting					
		Person					
(City) (State) (Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	Table 1 Non Dervative dedutties Adquired, Disposed of, or Derienbiary office												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
			Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(Instr. 4)			
Common stock, par value \$.001	11/02/2021		М		6,340	A	\$52.02	170,597	D				
Common stock, par value \$.001	11/02/2021		S ⁽¹⁾		6,340(1)	D	\$53.021 ⁽²⁾	164,257	D				
Common stock, par value \$.001								2,312	I	By 401K Plan			
Common stock, par value \$.001								1,000	I	By trust for daughter			
Common stock, par value \$.001								1,000	Ι	By trust for daughter			
Common stock, par value \$.001								1,000	Ι	By trust for son			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
Employee Stock Option (right to buy)	\$52.02	11/02/2021		М			6,340	(3)	02/15/2022	Common stock, par value \$.001	6,340	\$0.00	111,670	D		

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

2. The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$53.00 to \$53.06, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.

3. The option vests in equal installments on the third, fourth and fifth anniversaries of the grant date, which was 2/16/2015.

Remarks:

/s/ Bradley Tandy, Attorney-in-11/04/2021

Date

Fact ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.