# SEC Form 5

# FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

OMB APPROVAL

-	-
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Form 4 Transactions Reported.	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	L .				
1. Name and Address of Reporting Person* RALES MITCHELL P	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Colfax CORP</u> [ CFX ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) 2200 PENNSYLVANIA AVENUE, NW	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016	<ul> <li>Officer (give title Other (specify below)</li> <li>below)</li> </ul>				
SUITE 800W	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)		X Form filed by One Reporting Person				
WASHINGTON DC 20037		Form filed by More than One Reporting Person				
(City) (State) (Zip)						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.	4. Securities Act Of (D) (Instr. 3, 4		) or Disposed	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership	
		(Month/Day/rear)	8)	Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock, par value \$.001	04/07/2016		G <sup>(1)</sup>	35,250	D	\$0.00	854,750	I	Through the Mitchell P. Rales Family Trust <sup>(2)</sup>	
Common Stock, par value \$.001							10,321,361	D		
Common Stock, par value \$.001							750,000	I	By Colfax Capital Corporation <sup>(3)</sup>	
Common Stock, par value \$.001							19,388	I	By Capital Yield Corporation <sup>(3)</sup>	
Common Stock, par value \$.001							11,500	I	By trust for daughter	
Common Stock, par value \$.001							4,200	I	By MPR, as custodian for daughters	
Common Stock, par value \$.001							28,000	I	By spouse <sup>(4)</sup>	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

**Explanation of Responses:** 

1. Shares were gifted to a protective trust for which the reporting person has no beneficial ownership.

2. The reporting person is a trustee of the Mitchell P. Rales Family Trust.

3. These shares are held by an entity of which Mitchell P. Rales and Steven M. Rales are the sole stockholders. The reporting person disclaims beneficial ownership of these securities to the extent that they are beneficially owned by Steven M. Rales.

4. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein. Neither this filing nor anything contained herein shall be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 13 of the Securities Exchange Act of 1934 or otherwise.

**Remarks:** 

/s/ A. Lynne Puckett, Attorney-02/09/2017

in-Fact

\*\* Signature of Reporting Person Date  $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.